JUN 1 8 2007

### LARSON > NEWMAN

Intellectual Property Law

#### FACSIMILE COVER SHEET

DATE:

June 18, 2007

TO:

EXAMINER: John Alexander Tweel, Jr.

FAX NO.:

571-273-8300

GAU: 2612

FROM:

John R. Schell

Reg. No. 50,776

U.S. APP NO.:

10/616,704

FILING DATE:

July 9, 2003

APPLICANT(S):

Roc A. Lastinger et al.

ATTY DKT NO.:

1407-00071

TITLE:

WIRELESS VENDING COMMUNICATION SYSTEMS

NO. OF PAGES (INCL. COVER SHEET): 16

#### Attached please find:

Transmittal Form (1 pg)

🔀 Fee Transmittal (1 pg)

Petition to Correct Inventorship (2 pgs)

Statement by Added Inventor (1 pg)

Declaration and Power of Attorney (8 pgs)

Assent of Assignee (1 pg)

Statement Under 37 CRF 3.73(b) (1 pg)

#### CONFIDENTIALITY NOTE

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NO. 1794 P. 2

JUN 1 8 2007

Approved for use through 07/31/2006, CMB 0651-0031
U.S. Patent and Trademerk Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are recurred to reasond to a collection of information unless it displays a valid OMB control number. Application Number 10/616,704 TRANSMITTAL Filing Date July 9, 2003 First Named Inventor FORM Roc A. Lastinger Art Unit 2612 Examiner Name John Alexander Tweel, Jr. (to be used for all correspondence after initial filing Attorney Docket Number Total Number of Pages In This Submission 1407-00071 **ENCLOSURES** (Check all that apply) 4 After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Statement by Added Inventor (1 pg); Request for Refund Express Abandonment Request Declaration/POA (8 pgs); Written Assent for Change in Inventorship (1 pg); and Statement Under 37 CFR 3.73(b) (1 pg) CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application CUSTOMER NO.: 34456 Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name LARSON NEWMAN ABEL POLANSKY & WHITE, LLP Signature Printed name John R. Schell Date Reg. No. 6-18-07 50,776 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Debra J. Gillooly 6-18-07 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450.

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NO. 1794 P. 3

JUN 1 8 2007

PTO/SB/17 (07-06) Approved for use through 01/31/2007, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004. Complete if Known ees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/616,704 Application Number FEE TRANSMIT Filing Date July 9, 2003 For FY 2006 First Named Inventor Roc A. Lastinger Examiner Name John Alexander Tweel, Jr. Applicant claims small entity status. See 37 CFR 1.27 2612 TOTAL AMOUNT OF PAYMENT 130.00 1407-00071 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 50-3797 Deposit Account Name: LARSON NEWMAN ABEL POLANSKY & WHITE, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) Indicated below Charge fee(s) indicated below, except for the filing fce Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION**  BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES** Small Entity Small Entity Small Entity Application Type Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Ece\_(\$) Utility 300 150 500 200 100 250 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 2. EXCESS CLAIM FEES Small Entity Fee Description Fee (\$) <u>Fee (\$)</u> Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 Total Claims Extra Claims Fee Paid (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) Fee Pald (\$) - 3 of HP = HP = highest number of independent claims paid for, if greater than 3. **APPLICATION SIZE FEE** If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 Total Sheets Fee Paid (\$) (round up to a whole number) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Petition to Correct inventorship 130.00 SUBMITTED BY

Registration No. 50,776 Signature Telephone (512) 439-7100 Name (Print/Type) John R. Schell Date

This collection of Information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the includual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Critel Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS YO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 1 8 2007

PATENT

Р.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Roc A. Lastinger et al.

Title:

WIRELESS VENDING COMMUNICATION SYSTEMS

App. No.:

10/616,704

Filed:

July 9, 2003

Examiner:

John Alexander Tweel, Jr.

Group Art Unit:

2612

Customer No.: 34456

Confirmation No.:

1575

Atty. Dkt. No.: 1407-00071

Commissioner for Patents PO Box 1450 Alexandria, V.A. 22313-1450

### 1.48(a) PETITION TO CORRECT INVENTORSHIP

Dear Sir:

This Petition is requested under the provisions of 37 CFR 1.48(a) to correct inventorship.

In view of the papers filed, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by: 1) submitting this Petition along with a statement (see Appendix) from each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part; 2) an oath or declaration by the actual inventors as required by § 1.63; 3) the fee under § 1.17(i); and 4) written consent of the Assignee.

Please forward the present application to the Office of Initial Patent Examination (OIPE) for issuance of a corrected Filing Receipt, and correction of the file jacket and USPTO PAIR data to reflect the inventorship as corrected.

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents on

Debra J. Gillooly

Typed or Printed Name

06/19/2007 WABDELR1 00000059 503797

#### Authorization to Charge Deposit Account

A fee transmittal form has been included with this petition. If, however, any additional fees related to this petition are determined to be necessary, the Office is authorized to charge the deposit account no. 50-3797.

(.13.07)

Respectfully submitted,

John R. Schell, Reg. No. 50,776
Agent for Applicant(s)
LARSON NEWMAN ABEL
POLANSKY & WHITE, LLP
5914 West Courtyard Drive, Suite 200
Austin, TX 78730
(512) 439-7100 (phone)
(512) 439-7199 (fax)

JUN 1 8 2007

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Roc A. Lastinger et al.

Docket No.:

32329.00071

Application No.:

10/616,704

(As Amended)

Filing Date:

July 9, 2003

TITLE

WIRELESS VENDING COMMUNICATION SYSTEMS

# STATEMENT FOR CORRECTION OF INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Pursuant to 37 C.F.R. § 1.48(a)(2), I, Roc A. Lastinger, hereby declare that (1) the inventive entity was erroneously set forth in the declaration mailed March 15, 2004 for the above-identified application in that the inventive entity should have included myself, in addition to the inventors named in the declaration and (2) this error occurred without deceptive intent on my part.

Roc A. Lastinger

Inventor's Name (typed)

Signature

6-13-06

Date

### DECLARATION AND POWER OF ATTURNEY

RECEIVED CENTRAL FAX CENTER

As a below named inventor, I hereby declare:

JUN 1 8 2007

THAT my residence, post office address and citizenship are as stated below next to my name. THAT I believe I am an original, first and sole (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for

which a patent is sought on the invention entitled: WIRELESS VENDING COMMUNICATION SYSTEMS, the specification of which: [ ] is attached hereto. OR [x] was filed on July 9, 2003 as United States Application Number or PCT International Application Number 10/616,704, and was amended on August 4, 2005. THAT the subject matter of the [ ] attached amendment OR [ ] amendment filed on (\_\_\_\_\_\_) was part of my or our invention and was invented before the filing date of the original application, above identified for such invention. THAT I do not know and do not believe that this invention was ever known or used in the United States of America before my or our invention or discovery thereof, or patented or described in any printed publication in any country before my or our invention or discovery thereof, for more than one year prior to this application. THAT the invention was not in public use or on sale in the United States of America for more than one year prior to this application. THAT this invention has not been patented or made the subject of an inventor's certificate issued before the date of the application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months before this application. THAT I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. THAT I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. THAT no application(s) for patent or inventor's certificate on this invention or discovery has been filed by me or my legal representatives or assigns in a country foreign to the United States of America more than 12 months prior hereto, unless identified here:

THAT I hereby claim foreign priority benefits under Title 35. United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Claimed YES		Certifie Attache YES	
	_					
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THAT I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YY)
60/394,757	July 9, 2002

THAT I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

_	U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YY)	Parent Patent Number (If applicable)

And as a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and with the resulting patent, individually and collectively:

Squire, Sanders & Dempsey L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-4498

telephone number (602) 528-4000 (to whom all communications regarding the subject application are to be directed); and each practitioner thereof named below with Registration Numbers, and of the same address:

David E. Rogers	Reg. No. 38,287	Jacqueline M. Nicol	Reg. No. 44,973
Allen J. Moss	Reg. No. 38,567	Paul J. Meyer	Reg. No. 47,791
Marc A. Sockol	Reg. No. 40,823	Matthew J. Evans	Reg. No. 56,530
Cameron K Kerriga	Per No 44 926		1108, 110, 50,550

Please direct all correspondence to:

Squire, Sanders & Dempsey L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-4498 I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's	Signature	<del>2</del> 3	<u>مديم هدة</u>	nger	
Date <b>5</b>	-18-00	>			
	Name (typed)				Lastinger Family Name
		·			
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(State:Fore	ign Country)				
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	ingitatore				
				Middle	Glasser Family Name
					. Piumiy Kange
			•		
(State, Forei	ga Country)				
Inventor's S	Signature		<del></del> .	·	
Inventor's S	vame (1) ped)	Nissim			Özer
					Family Name
State. Forei	an Conntry) —			<u> </u>	
Post Office .	Address	<del>_</del> -		_(Zip Code)	
			Page 4		

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

•	Inventor's Signature	<del></del>		
	Date		·	
	Inventor's Name (typed)	Roc First	A. Middle	Lastinger Family Name
	Citizenship	·		
	Residence (City)			
	(State/Foreign Country)	<u></u>		
	Post Office Address			
	Inventor's Signature			
	Date Ochh 11, T	2001		
	Inventor's Name (typed)	• • • • • • • • • • • • • • • • • • • •	Middle	Glasser Family Name
	Citizenship US			
	Residence (City) Scotts	dale		·
	(State/Foreign Country)	Arizona		
	Post Office Address 8094 E.	Bonita, Scottsda	ale, AZ (Zip Code)	85258
	Inventor's Signature		· · · · · · · · · · · · · · · · · · ·	
	Date	~ ·- · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	
	Inventor's Name (typed)	Nissim First	Middle	Ozer Family Name
	Citizenship			
	Residence (City)			
	(State/Foreign Country)			

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p.2

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like 50 made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature	·	
Date		
Inventor's Name (typed) Roc	A. Mièdie	Lastinger Family Name
Citizenship		
Residence (City)		
(State/Foreign Country)		
Post Office Address		
Inventor's Signature		
Date		
Inventor's Name (typed) Chuck	MistGe	Glasser Penity Name
Citizership		Canaly Many
Residence (City)	•	
(State/Foreign Country)		
Post Office Address	(Zip Code)	,>~
Inventor's Signature	. •	>
Date April 6, 2006		<u> </u>
Inventor's Name (typed) Nissim	Nichtle .	Ozer Fæily Nama
Citizenship IL		
Residence (City) Las Vezas		
(State/Forcign Country) / V		
Post Office Address 7633 Genesis Ct.	(Zip Code)	89146
Page 4		

Inventor's Signature	30/11	
Date 4/7/26		
Inventor's Name (typed) Robert First Citizenship	Middle .	<u>Ufford</u> Family Name
Residence (City)Roswell		
(State/Foreign Country) Georgia	•	
Post Office Address 1020 Brooksglen Dr.	(Zip Code)	30075
Inventor's Signature	*	
Date		
Inventor's Name (typed)Armando	Middle	Viteri Family Name
Residence (City)		
(State/Foreign Country)		

SQUIRE, SANDERS & DEMPSEY L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-4498 (602) 528-4000

PHOENIX/347316.1

Inventor's Signature			
		· 	
Inventor's Name (typed)			Ufford
Citizenship	2.11.74	Modife	Family Same
Residence (City)			
(State Foreign Country)			
Post Office Address			
Inventor's Signature	\ \		
Date	4/3/06		
Inventor's Name (n ped)	Armanda		Vileri
Citizenship			hamily Name
Residence (City)	Paradise		
(State Foreign Country)	AZ		
		(Zip Code)	85 25 <b>3</b>

SQUIRE, SANDERS & DEMPSEY L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-1498 (602) 528-4000

PSCEMN 347316-1

JUN 1 8 2007

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Roc A. Lastinger, et al.

Docket No.:

32329.00071

Application No.:

Filing Date:

10/616,704

(As Amended)

Group Art Unit: 2612

July 9, 2003

Examiner:

John Alexander Tweel, Jr.

TITLE:

WIRELESS VENDING COMMUNICATION SYSTEMS

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### ASSENT OF ASSIGNEE TO CORRECTION AND/OR ADDITION OF INVENTOR(S) UNDER 37 C.F.R. 1.48(a)(5)

I, Carol Ray, am authorized to act on behalf of the assignee, hereby assent to the correction of inventorship and declare that the error made in originally stating the inventorship for the above referenced application and corrected in accordance with the petition filed herewith was made without deceptive intent. A Statement under 37 C.F.R. §3.73(b) is attached.

RF CODE, INC.

Officer's Signature

Carol Ray, CFO

Printed name and title

Jan 15, 2007

JUN 1 8 2007

PTO/38/66 (04-07)
Approved for use through 08/30/2007 OMB 0051-0031
U.S. Patent and Trademark Officer U.S. DEPARTMENT OF COMMERCE

Other out Paperwork Postpoon Ats of 1995, no persona and required to second to a collection of information unloss it displays a walld CIMB example humbon.
STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patcht Owner: Roc A Leslinger
Application No./Parant No.: 10/818,704 Filed//subs Date: July 9, 2003
Entitled: Wireless vending communication systems
RF Code. Inc. , 8 Comparison .  (Name of Adolghoe) (Type of Adolghoe, e.g., corporation, portrorship, university, government agency, etc.)
states that it is: 1. It is assigned of the entire right, little, and interest; or
2. so essignee of less than the entire right, title and interest  (The extent (by percentage) of its ownership interest is
In the patent application/patent identified above by virtue of either.
A passignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Real 015120 , Frame 0105 or for which a copy thereof is attached. Second Assignment recorded at Real 016484 Frame 0569
B. A chain of title from the invantor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From; 'To;
1. From:
2 From:
The document was recorded in the United States Patent and Trademark Office at  Real, Frame or for which a copy thereof is attached.
3. From:
The decument was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental cheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assigned was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
(NOTE: A separate copy (/.s., a true copy of the original essignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the essignment in the records of the USPTO, <u>See</u> MPEP 302.08)
The undersigned (whose tills is supplied below) is authorized to act on behalf of the assignee.
Signature Date
Signature U Date
Printed or Typed Name Telephone Number
CFO
Tille

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a baneith by the public which is to file (and by the USPTO to processe) an application. Confidentially is governed by 36 U.S.E. 122 and 37 CFR 1.11 and 1.14 The collection is estimated to take 12 minutes to complete, including gethoring, preparing, and submitting the completed application form to the USPTO. Three way say depending upon the individual case, Any community of the your require to complete the form antifor suggestions for reducing that which about the sent to the Chief information Officer.

U.S. Potent and Trademark Office, U.S. Department of Commissions P.O. Soit 1460, Abstances, VA 22313-1450, ON NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Palents, P.O. Sox 1450, Alexandria, VA 22313-1450.

If you need essistence in completing the form, cull 1-800-PTQ-9109 and solars option 2